

**2006-2007  
BEVERAGE CONTAINER RECYCLING  
GRANT SOLICITATION**

**QUESTIONS AND ANSWERS**

- Q1: Based on previous years, generally how many applications are received in response to this program?**  
A1: *Since implementing the 2-phase process, an average number of 75 concepts were submitted for review.*
- Q2: Is there an estimate on how many awards will be issued for FY 2006/2007?**  
A1: *We are unable to determine how many awards will be issued because the requested funding amount varies and up to \$1.5 million is available. For more information on previous awards, visit the Historical Funding for Competitive Grants at: <http://www.conservation.ca.gov/DOR/grants/HistFund.htm>*
- Q3: I understand that providing matching/local contributions is not required but viewed favorably in the evaluation process – do typical awardees provide matching funds? And if so, what percentage of project costs do they provide (on average)?**  
A3: *Please refer to **Phase 2 Proposal Form** and **Proposal Evaluation and Scoring** in the Solicitation. Budget contributions/matching funds are identified and assigned points in the Budget portion of the scoring criteria. Specific percentage information is not available.*
- Q4: Can we apply (for the grant) more than once, if we have more than one applicable project that we would like funded?**  
A4: *Yes. Applicants may submit as many concepts as they would like.*
- Q5: What is meant by not to exceed two double sided pages? Does this mean we can type up to four pages of information that is printed double sided?**  
A5: *Applicants are able to provide a total of four (4) single-sided pages (10 pt. type size on 8 1/2 x 11 paper) or two (2) double-sided pages.*
- Q6: Would an unincorporated (rural area) mandatory collection program qualify for these funds?**  
A6: *As indicated in the **Grant Focus**, any project supporting convenient beverage container recycling opportunities may be considered.*
- Q7: What is the maximum amount of funds that can be requested?**  
A7: *The Department has \$1.5 million available and applicants may submit requests for the minimum of \$35,000 up to the full amount.*
- Q8: Could you give some examples of partnership?**

- A8: *One example of a partnership could include a business or other entity teaming up with a beverage manufacturer, local jurisdiction (e.g., city/county), a waste hauler or other recycling service program to establish a project that will increase collection of CRV beverage containers at multiple locations.*
- Q9: Your grant round has a minimum of a \$35,000 in requested money. This may be too high for some of our cities which we work with...Is it possible for one central Waste Management location to apply for the grant and for the awarded units to be dispersed to their various locations for use in special event recycling?**
- A9: *Yes. A single entity wishing to implement a sustainable and long-term CRV beverage container collection program, satisfying the criteria listed in the grant focus, for multiple targeted areas or locations, is welcome to apply for a beverage container recycling grant. As noted in the "Sustainability" section on Page 3 of the grant solicitation, please be sure to provide a detailed summary of all commitments and financial resources to ensure long-term sustainability of these programs.*
- Q10: For the budget information, is there a project time period required by these grants? Should the grant funds requested only be for a 12-month period or is it possible to request funds for a longer project period?**
- A10: *The only schedule requirement for each grant awarded is that the projects last at least one year to satisfy the 12-month CRV collection and volume reporting schedule required in the "Implementation Schedule." Grant terms are typically one to two years, but can be longer if necessary.*
- Q11: Do we have to expend the grant funds within a specified timeframe after the execution of the contract?**
- A11: *All grant funds must be expended within the grant term identified in the grant agreement. The Department will not reimburse costs incurred prior to or after the grant term.*
- Q12: In Phase 1, the Concept Form requires an amount requested. The budget does not have to be submitted until Phase 2. Is the amount requested just a round about figure of what I think the costs will be or does it have to be exact?**
- A12: *The requested amount submitted in the concept (Phase 1) should demonstrate a general or "rough" estimate of the proposed project. However, budgets submitted with requested Full Proposal (Phase2) packages should render an exact project amount. Each budget item must also be specified and justified.*

**Q13: Under "Recycling Program History" of the Concept Form, what is meant by "certified in any category by the Department of Conservation, Division of Recycling, please provide certification and/or registration numbers"? Please give examples of what types of certification there are.**

A13: *This refers to any grant applicant who is currently certified or registered by the Department to collect and/or redeem CRV beverage containers. The different types of recycling programs certified or registered by the Department include: recycling centers, drop-off or collection programs, community service programs, curbside programs, and processing facilities. For further information regarding the certification process, please refer to the "Starting a Recycling Business" handbook, which can be accessed at the following address:*  
<http://www.consrv.ca.gov/DOR/crcp/recyclers/Images/Starting%20a%20Recycling%20Business.pdf>

**Q14: If we submit two concept forms, do we have to submit two proposals for each concept?**

A14: *Yes. If both concept forms receive a passing score, applicants will be invited and required to submit a proposal for each concept in Phase 2.*

**Q15: Is this grant limited to bottles and cans recycling or can paper collection be included in the setup?**

A15: *The Department is seeking projects that provide convenient beverage container recycling opportunities in California. Beverage containers should be the main component, however, the Department will consider the recycling of other material types if they are part of a comprehensive program. Examples of comprehensive recycling programs include: cluster type recycle bins, curbside and multi-family collection programs, etc.*

**Q16: What type of projects has the DOC funded in the past?**

A16: *The type of projects funded depends on the Department's grant focus. Each year, the Department identifies a grant focus in the solicitation and funds projects meeting the identified criteria. Past projects include implementing recycling at schools, large venues, sporting complexes, multi-family dwellings and parks and recreation areas.*

**Q17: What is the focus of the DOC for this grant for 2006/2007?**

A17: *Please refer to page one of the Grant Solicitation under "Grant Focus" for examples of eligible recycling projects that meet this year's focus: providing convenient beverage container recycling throughout California.*

**Q18: Can my manager sign off on the Concept Form or is this something that needs to go to our City Council for approval?**

A18: *The Department does not require a signature or City Council approval to submit a concept, however, your city may have a policy in place with regards to this.*

**Q19: Can I use Normal, Arial 10 point type to type my (2) double sided pages?**

A19: *Yes, concepts must be typed using a minimum 10-point type size.*

**Q20: Can I use pictures to demonstrate what I would like to say?**

A20: *Yes. Please remember the concept must not exceed two (2) double-sided pages.*

**Q21: Would you give me some explanations and examples on the question “How will the project support convenient beverage container recycling opportunities?”**

A21: *The Department is seeking projects providing convenient beverage container recycling opportunities in California. Examples of convenient beverage container recycling opportunities include: curbside recycling, placing recycling bins next to trash bins in public places, recycling at multi-family dwellings, exercise facilities and workplaces.*

**Q22: When does the grant period start and end?**

A22: *The grant period cannot begin before September 2006. The grant period must be at least one year to satisfy the 12-month CRV collection and volume reporting schedule required in the “Implementation Schedule. Grant terms are typically one to two years, but may be longer if necessary.*

**Q23: Have invitations to submit a full proposal been issued?**

A23: *Due to the high number of concepts received, it has taken longer than expected to evaluate them. All concepts have been reviewed and scored. Invitations to submit a full proposal will be mailed out Friday, May 12, 2006.*

**Q24: When will those not being invited to submit a full proposal receive notification?**

A24: *Letters informing Phase 1 concept applicants of the results will be mailed out Friday, May 12, 2006.*

**Q25: Will the Department post a list of those being invited to submit a full proposal? Is this information available?**

A25: *Results of the Phase 1 concept scoring process will not be posted. Upon request, the Department will provide the results of the concept phase.*

**Q26: How many grant proposals were received?**

A26: *A total of 133 Phase 1 concepts were received.*

**Q27: What was the total funding requested for those concepts?**

A27: *A total of \$15.2 million was requested in the Phase 1 concept process.*

**Q28: Do your grant review staff have any questions or require information about our proposal submitted in partnership with Monterey Regional Waste Management District?**

*A28: In order to keep the application process fair and competitive, it is not common practice to contact the applicant during either phase of the competitive grant application process.*